

## THE OHIO STATE UNIVERSITY

## OFFICIAL PROCEEDINGS OF THE

ONE THOUSAND THREE HUNDRED AND EIGHTH MEETING

OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 2, 1994

The Board of Trustees met at its regular monthly meeting on Friday, December 2, 1994, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 2, 1994 meeting, Board of Trustees

The Chairman, Mr. Kessler, called the meeting of the Board of Trustees to order on December 2, 1994, at 7:30 a.m. He requested the Assistant Secretary to call the roll.

Present: John W. Kessler, Chairman, Theodore S. Celeste, Michael F. Colley, David L. Brennan, and James F. Patterson.

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Mr. Kessler:

At this time, I would like to request that the Board recess into Executive Session for the purpose of considering personnel and legal issues.

Upon motion of Mr. Colley, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote.

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Mr. Kessler reconvened the meeting at 10:30 a.m. and asked the Assistant Secretary to call the roll.

Present: John W. Kessler, Chairman, Milton A. Wolf, Alex Shumate, Theodore S. Celeste, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Amira N. Ailabouni, and Thomas C. Smith.

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#### PRESIDENT'S REPORT

President Gee:

This has been an exciting month, with a monumental event that has made my future very happy. I must admit, it was one of the most wonderful days of my life. My palms were sweating, my heart was pounding . . . I can't remember when I have been more excited . . . but enough about the Michigan game! Seriously, I do want to thank the members of this Board and our university family for the many good wishes directed to Constance and me.

As I have told some of our alumni, I think so highly of the Ohio State faculty, I married one of them! I hope that this Board, and her Dean, will note that we returned to campus after just a three-day honeymoon so that she could meet her obligations to her classes.

It is a very busy time of the quarter for all of our faculty and students -- as we are in the sprint toward finals and commencement. We are honored that the President Emeritus of Ohio University, Dr. Charles Ping, will be our commencement speaker. It is amazing how good our campus spirit is after that victory over Michigan. We also beat Michigan in another very important contest, the Ohio State-Michigan Blood Donation Battle.

Speaking of winners, Ann Marie Smith, a staff nurse at Dodd Hall, received the 1994 Association of Rehabilitation Nurses Staff Nurse Award for Clinical Excellence. She has been with the traumatic brain injury unit since 1988. Good people in our medical center, people like Ms. Smith, are the reason that *Modern Health* magazine this month named The Ohio State University Medical Center as one of America's Top 100 hospitals. We were among only 25 of the top 100 to repeat from last year's list. Reed Fraley, Executive Director and Associate Vice President, is in the audience, and Reed

December 2, 1994 meeting, Board of Trustees

#### PRESIDENT'S REPORT (contd)

President Gee: (contd)

congratulations to you and all of your staff, and I hope that you will return that to them from all of us here.

Ohio State's women's volleyball team, ranked third in the nation, captured the Big Ten Championship last week and begins NCAA tournament play tomorrow. We have high hopes for them! I believe that they are playing Texas A & M tomorrow evening. Forty-two fall sports student athletes were winners of academic all-conference honors from the Big Ten. All are non-freshman letterwinners and represent seven different sports.

More than 100 students from engineering, business, and journalism are hoping to be winners of the national race for solar powered cars. Sun-rayce is a 9-day staged race from Indianapolis to Golden, Colorado. Our team's entry, the Red Shift, is an amazing machine. I know they will represent us well, and already they have achieved a great deal by putting this project together.

We hosted 110 National Merit and National Achievement Scholars this past month as part of our recruitment of next year's class. With a one-day program emphasizing personal attention, more than 175 faculty and senior staff members and 130 upperclass students volunteered to help in this effort. I am confident, by the way, that we will continue to see great results from this recruiting of these very, very able academic folks.

Some of you may have seen the extensive interview in the *Wall Street Journal* last month with Professor Kathryn Stafford in the Department of Family Resource Management, on the proliferation of home-based businesses. There has been continuing national attention to Professor Paul Schultz's study of the NASDAQ stock market. And the current issue of *Vanity Fair* highlights humanities dean Kermit Hall's membership on the national Kennedy Assassination Records Review Board. This five-person panel determines which records are to be made public -- I would note that that is probably one of the more interesting tasks in America. This type of national activity not only brings recognition to our fine faculty, but also, of course, to the university. And involvement in such contemporary issues enlivens the classroom teaching of these same fine faculty.

Finally, Mr. Chairman, I would be remiss if I did not mention one item on your agenda today. The Board is recommended to name the park beside Caldwell Lab for Neal and Faye Smith. Professor Smith was a distinguished and pioneering faculty member in electrical engineering for nearly 40 years. Faye Smith worked for 25 years as assistant to the Secretary of the Board of Trustees.

Not only did these two great university citizens devote their professional lives to Ohio State, they also left a generous gift to the university they loved. In her quarter century of service to the Board of Trustees, Faye Smith had the responsibility to prepare recommendations and resolutions for its consideration. So today I can think of no better tribute than the recommendation you have before you to name after the Smiths this very fine park.

Mr. Chairman, that concludes my report.

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## UPDATE ON UNIVERSITY RESTRUCTURING

Provost Sisson:

I would like to bring to your attention several items. First is the recommendation by the College of Medicine that there be a selective reorganization of existing units to form a school of public health, with appropriate affiliations with other units around the campus. On my recommendation, it is currently under formal review by the relevant committees of the University Senate.

Secondly, after a series of discussions, I would recommend that the Senate proceed with my original proposal elaborated, that the College of Social Work become a school as a part of the College of Education. In the interest of programmatic principle, I might say and organizational symmetry, the College of Human Ecology in a second review of its restructuring plan has proposed to reorganize from five departments to three, rather than from five to three in the division, as originally proposed.

I have just received and I will soon recommend to the Senate for formal review the transfer of the Department of Geodetic Science and Surveying from the College of Mathematical and Physical Sciences to the College of Engineering, with the ultimate plan for its merger with the Department of Civil Engineering and Engineering Graphics. I look forward to reporting progress on these and other proposals now in various stages of maturity at the February meeting of the Board, as well as to provide you with a report on the progress that we are making or have already made in the restructuring of academic support units. That's my report with respect to restructuring, Mr. Chairman.

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## CANCER HOSPITAL REPORT

Mr. Kessler:

I would now like to call on Dr. David Schuller for a report on the Arthur James Cancer Hospital. Dr. Schuller --

Dr. David Schuller:

Thank you, Mr. Chairman. The following is a report on The Arthur G. James Cancer Hospital and Research Institute and this statistical and financial information is current up to October 31, 1994. Admissions to the James were 1,788, against a budget of 1,636, for a positive variance of 9.3 percent over budget. Consequently, patient days are 9.1 percent over budget year-to-date, with an average length of stay matching the budget at 7.3 days. Net patient revenues year-to-date are over budget by 6.4 percent, with total operating expenses showing a favorable variance of 5.8 percent. Consequently, the Hospital has witnessed a gain from operations of \$2,267,000, compared to a budgeted gain of \$1,311,000.

The Board of the Cancer Hospital and Research Institute continues to monitor continuous quality improvement initiatives. The James has been well within expected thresholds for all continuous quality improvement indicators. Any small variances have been studied and reported back to the Board. A number of operational initiatives have developed since the beginning of this current fiscal year. Many of these initiatives deal directly with our continued efforts and community service and education, as well as continued liability of the Cancer Hospital itself.

## CANCER HOSPITAL REPORT (contd)

Dr. Schuller: (contd)

This year we have witnessed the opening of our outpatient Chemotherapy Center at Stoneridge on Sawmill Road. Volume continues to grow during this initial start-up phase. The James has begun operation of its second mobile Mammography Unit allowing us to perform more early diagnostic mammograms in a greater geographical area.

Efforts continue toward the expansion of our affiliate hospital agreements which now number a total of eleven. Working cooperatively as a part of the University Medical Center, these affiliate arrangements have provided us an ever-increasing patient referral base. This current year the James will enter into joint marketing efforts with three of those affiliate hospitals located in Springfield, Zanesville, and Knox County.

In local outreach activities the James has developed a working relationship with Mt. Carmel Medical Center, the Links Organization, and the Columbus Cancer Clinic to form a breast cancer alliance, specifically designed to address issues in the African-American population. Within the James we have witnessed the development of a Comprehensive Oncology Rehabilitation program. This program is designed to facilitate the development of an all encompassing patient service needs assessment and delivery system.

And, finally, the James Cancer Hospital Foundation Board, has endorsed a campaign to raise the necessary funds to initiate a major program in cancer genetics, which holds so much promise for diagnosis, treatment, and prevention based on molecular discoveries. The search for the director of that program has already commenced. All of the members of the cancer program including, the Cancer Hospital Board and the James Foundation Board have accepted the responsibility for the development of this important initiative for the citizens of Ohio. This completes my report, Mr. Chairman.

And if I may, may I add something more to the report?

Mr. Kessler:

You may.

Dr. Schuller:

As this Board knows, Mr. Kessler served as the first chair of the Cancer Hospital Board and was instrumental in providing critically important leadership to us from day one. So we just wanted to express our appreciation to you, Jack. What is in front of me is a resolution that was unanimously adopted by the current Cancer Hospital Board this past July to honor you for all of your leadership in the beginning, as well as just a little memento to again let you know how much we really appreciated your leadership. So thank you very much.

Mr. Kessler:

Thank you very much. The James Cancer Hospital is a wonderful asset for our community and they give excellent care to our patients. I think we should all be very proud of our association at Ohio State with this wonderful institution. Thank you, Dennis and David.

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Mr. Kessler:

I would now like to call on John Blancamano.

Mr. John Blancamano:

Thank you, Mr. Chairman. I am here today on behalf of the Office of Legal Affairs to request your approval for some changes in University rules dealing with potential conflicts of interest. As you know, members of the Board, and on occasion senior University officials, will serve on the boards of local businesses, including banks. This is an important part of our community service obligation, and it also helps to build relationships between the University and the local business community.

At the same time, the University has a number of contracts with local banks. Now these contracts rarely come before the Board for approval. I believe that on an annual basis the Board delegates the authority to the University Treasurer to review and approve those contracts. So as a practical matter, the Board is never directly involved in reviewing and approving contracts with banks. At the same time, we think that it is very important that we avoid even the appearance of a conflict of interest with respect to any of our banking contracts, and that is the reason why we are proposing these rule changes.

We in the Office of Legal Affairs, under the direction of Bob Duncan, have been working on this proposal for about a year. It is part of a general review of the University rules that we are doing right now. It is not motivated by any specific problem with the contract or bank that has come before us, this is just a general review process that we are going through.

I'll take a moment to outline the proposed changes. Under the new rule the Treasurer would report directly to the Board with respect to banking contracts -- this will clarify the line of authority. The Trustees will be required to disclose to the Treasurer any bank board memberships in which they are involved. If a contract with one of these banks should at any time require direct Board action, the affected Trustee will be required to: 1) abstain from any deliberations; and 2) abstain from any vote regarding that matter. With respect to new contracts, the rules would require the Treasurer to solicit proposals and to award the contract to the bank offering the terms that are most advantageous to the University.

Finally, I want to emphasize that these changes relate only to University contracts with banks and not to any other service or commodity. So that is a quick overview of the proposed rules which I believe you have in your packets. I would be happy to answer any questions that you may have.

Mr. Kessler:

Thank you, John. Are there any questions?

#### CONFLICT OF INTEREST

Resolution No. 95-63

WHEREAS the increasing number and complexity of University operations requires services of various financial service providers, including banking institutions; and

WHEREAS the Trustees desire to preclude any conflict of interest which may arise as a result of the procurement of such financial services; and

#### CONFLICT OF INTEREST (contd)

WHEREAS this goal may be accomplished by structuring a new procedure for awarding such contracts, by vesting in the Office of Treasurer to the University Trustees (Board Treasurer) the exclusive responsibility for recommending qualified providers to the Trustees, and by alerting Trustees when conflict of interest potential exists:

#### NOW THEREFORE

BE IT RESOLVED, That the Bylaws of the Board of Trustees and Rules of the University Faculty hereafter set forth be amended as indicated and that all other rules, regulations, and directives of the University be made to conform thereto:

#### Amended Bylaws

3335-1-02 Officers of the board.

(A) through (G) unchanged.

(H) The treasurer.

- (1) The treasurer of the university shall be the treasurer of the board. As treasurer of the university and of the board, he shall:
  - (a) Receive such moneys as, in accordance with law, are not to be deposited with the state treasurer;
  - (b) Have charge and custody of, and be responsible for all funds, securities, notes, contracts, deeds, documents, and all other indicia of title in the university and valuable effects of the university; receive and give receipts for moneys due and payable to the university from any sources whatsoever; deposit all such moneys in the name of the university in such banks, trust companies or other depositories of the university; be responsible for the accuracy of the amounts of and cause to be preserved proper vouchers for all moneys disbursed;
  - (c) Keep, or cause to be kept, in his office at the university correct records of the financial affairs of the university, and exhibit such records to any of the members of the board upon request at such office;
  - (d) Render to the board, whenever it shall require him to do so, an account of the financial condition of the university and all of his transactions as treasurer, and, as soon as may be after the close of each fiscal year, make and submit to the board a like report for such fiscal year; and
  - (e) Give bond, payable to the state of Ohio for the use of the university, in such sum as the board shall determine:
    - (i) For the safe keeping of all university funds,
    - (ii) For the payment of same in accordance with the rules of the board, and
    - (iii) For the faithful discharge of his duties as treasurer.

Such bond shall be signed by a surety company authorized to do business in the state of Ohio, and the premium thereon shall be paid by the university. Such bond shall be approved by the attorney general and filed with the secretary of state.



CONFLICT OF INTEREST (contd)

Amended Bylaws (contd)

- (2) IN THE CAPACITY SOLELY AS BOARD TREASURER, THE BOARD TREASURER SHALL HAVE EXCLUSIVE RESPONSIBILITY FOR RECOMMENDING TO THE TRUSTEES QUALIFIED PROVIDERS OF FINANCIAL SERVICES, WHICH SERVICES ARE NECESSARY TO IMPLEMENT UNIVERSITY OPERATIONAL AND POLICY DECISIONS AND THE TRUSTEES SHALL ACT DIRECTLY ON SUCH RECOMMENDATION, DELEGATING NO PART OF THAT DUTY.
- (A) IN MAKING THE RECOMMENDATION THE BOARD TREASURER SHALL BROADLY SURVEY THE FINANCIAL SERVICE COMMUNITY FOR QUALIFIED PROVIDERS, REQUESTING PROPOSALS FROM THEM.
- (B) FROM QUALIFIED PROVIDERS RESPONDING, THE ONE RECOMMENDED SHALL OFFER:
- (1) THE LOWEST PRICE, AND
- (2) TREATMENT, INCLUDING PRICE, PREFERENTIAL TO OR THE SAME ACCORDED OTHER CUSTOMERS IN SIMILAR TRANSACTIONS.
- (C) TRUSTEES SHALL FILE WITH THE BOARD TREASURER ON OR ABOUT APRIL 15 OF EACH YEAR, ON A FORM PREPARED BY SUCH TREASURER, A FULL DISCLOSURE OF ANY FINANCIAL OR FIDUCIARY INTEREST THE TRUSTEE, A MEMBER OF THE TRUSTEE'S FAMILY, OR ANY BUSINESS ASSOCIATE OF THE TRUSTEE MAY HAVE IN ANY FINANCIAL SERVICE PROVIDER WHO MAY BE QUALIFIED TO DO BUSINESS WITH THE UNIVERSITY.
- (D) THE BOARD TREASURER SHALL NOTIFY THE TRUSTEES OF ANY PROPOSED TRANSACTION WITH A FINANCIAL SERVICE PROVIDER IN WHICH ANY TRUSTEE, HIS/HER FAMILY OR BUSINESS ASSOCIATE, HAS AN INTEREST, AND THE INTERESTED TRUSTEE SHALL ABSTAIN FROM THE DELIBERATIONS AND FROM THE VOTE WITH RESPECT TO THE PROPOSED FINANCIAL SERVICES CONTRACT.
- (E) PRIOR TO RECOMMENDING THE AWARD OF CONTRACT PURSUANT TO THIS SUBPARAGRAPH, THE BOARD TREASURER SHALL INFORM THE VICE PRESIDENT FOR FINANCE OF THE RECOMMENDATION AND THE BASIS THEREFORE.
- (2)(3) In addition, the treasurer shall perform such other duties as from time to time may be assigned to him by the board or by the chairman.

3335-1-03 Administration of the university.

(A) Unchanged.

(B) The president.

- (1) The president shall be the chief executive officer of the Ohio state university and shall be responsible for the entire administration of the university, subject to control of the board of trustees AND EXCEPTING THEREFROM THOSE FUNCTIONS RESERVED EXCLUSIVELY TO THE BOARD TREASURER AND TRUSTEES IN PARAGRAPH (H) (2) OF BYLAW 3335-1-02 OF THE ADMINISTRATIVE CODE. He shall lead in fostering and promoting education and research as the primary aims of the university. It shall be his duty to enforce the bylaws,

CONFLICT OF INTEREST (contd)

Amended Bylaws (contd)

rules and regulations of the board of trustees, and, as a member of the faculty, he shall interpret to the board proposals and actions of the faculty. He is hereby clothed with the authority requisite to that end.

- (2) Any authority or responsibility of the president may be delegated by him to another person in the office of the president or to any other member of the faculty or staff of the university. Delegation of major areas of authority or responsibility shall have the prior consent of the board of trustees.
- (3) The president shall be a voting member of all college faculties, of the graduate school faculty, and of the faculty of the arts and sciences. He shall be a voting member and presiding officer of the university faculty and of the university senate. He shall appoint all committees of the university faculty and of the university senate, unless membership has been designated by rule.

(C) through (H) unchanged.

- (I) Vice president for business and administration. The major area of responsibility and authority of the vice president for business and administration shall be that of the administration of the university operations. Without limiting the generality of the foregoing, the vice president for business and administration shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: the conduct and evaluation of all business and administrative activities and procedures, including management improvement programs; internal auditing; business management; business services EXCEPTING THEREFROM THOSE FUNCTIONS RESERVED EXCLUSIVELY TO THE BOARD TREASURER AND TRUSTEES IN PARAGRAPH (H) (2) OF BYLAW 3335-1-02 OF THE ADMINISTRATIVE CODE; the development, allocation, utilization, and maintenance of the physical facilities; university public safety; university-wide environmental health and safety; the operation of university air transportation services; and the coordination of all matters administratively assigned to the other vice presidents which relate to the business and administrative areas of the university.

(J) through (M) unchanged.

- (N) Vice president for finance. The major area of responsibility and authority of the vice president for finance shall be that of the administration of university finances, including planning and resource allocation. Without limiting the generality of the foregoing, the vice president for finance shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: administration of the office of university treasurer, EXCEPTING THEREFROM THOSE FUNCTIONS RESERVED EXCLUSIVELY TO THE BOARD TREASURER AND TRUSTEES IN PARAGRAPH (H) (2) OF BYLAW 3335-1-02 OF THE ADMINISTRATIVE CODE; formulation, review and monitoring of capital and current funds budgets; managing university debt instruments, investments and cash flow; financial accounting and management; monitoring the state budget process and its impact on the university; indirect cost analysis and recovery; space use projections and planning; enrollment projections and long-range financial planning in the context of the academic mission and goals of the university.

Balance unchanged.

3335-1-08 Miscellaneous.

(A) through (F) unchanged.

CONFLICT OF INTEREST (contd)

Amended Bylaws (contd)

- (G) CONFLICT OF INTEREST, ABSTENTION. NO TRUSTEE SHALL PARTICIPATE IN DELIBERATIONS OR VOTE ON A UNIVERSITY CONTRACT, ACTION OR TRANSACTION WHEN THE TRUSTEE HAS A FINANCIAL OR PERSONAL OR FIDUCIARY INTEREST IN ANY PERSON OR ENTITY AFFECTED BY SUCH CONTRACT, ACTION OR TRANSACTION. THE TRUSTEE HAVING THE PROHIBITED INTEREST SHALL MAKE FULL DISCLOSURE THEREOF AND SHALL ABSTAIN FROM ANY VOTE ON ANY SUCH MATTER. ANY CONTRACT, ACTION OR TRANSACTION IN WHICH ONE OR MORE TRUSTEES HAVE A PROHIBITED INTEREST MAY BE APPROVED BY THE AFFIRMATIVE VOTE OF A MAJORITY OF VOTING TRUSTEES WHO ARE NOT INTERESTED IN THE CONTRACT, NOTWITHSTANDING THE FACT THAT THE DISINTERESTED TRUSTEES CONSTITUTE LESS THAN A QUORUM OF THE TRUSTEES.

Amended Rules

3335-3-03 The president.

- (A) The president shall be the chief executive officer of the Ohio state university and shall be responsible for the entire administration of the university, subject to the control of the board of trustees AND EXCEPTING THEREFROM THOSE FUNCTIONS RESERVED EXCLUSIVELY TO THE BOARD TREASURER AND TRUSTEES IN PARAGRAPH (H) (2) OF BYLAW 3335-1-02 OF THE ADMINISTRATIVE CODE. He shall lead in fostering and promoting education and research as the primary aims of the university. It shall be his duty to enforce the bylaws, rules and regulations of the board of trustees and, as a member of the faculty, he shall interpret to the board proposals and actions of the faculty. He is hereby clothed with the authority requisite to that end.

Balance unchanged.

3335-3-08 Vice president for business and administration.

- (A) The vice president for business and administration shall be the executive head of the office of business and administration.
- (B) The major area of responsibility and authority of the vice president for business and administration shall be that of the administration of the university operations. Without limiting the generality of the foregoing, the vice president for business and administration shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: the conduct and evaluation of all business and administrative activities and procedures, including management improvement programs; internal auditing; business management; business services EXCEPTING THEREFROM THOSE FUNCTIONS RESERVED EXCLUSIVELY TO THE BOARD TREASURER AND TRUSTEES IN PARAGRAPH (H) (2) OF BYLAW 3335-1-02 OF THE ADMINISTRATIVE CODE; the development, allocation, utilization, and maintenance of the physical facilities; university public safety; university-wide environmental health and safety; the operation of the university air transportation services; and the coordination of all matters administratively assigned to the other vice presidents which relate to the business and administrative areas of the university.
- (C) The principal administrative officials of the office of business and administration shall include the assistant vice president, administration; assistant vice president, physical facilities; assistant vice president, university architect; assistant vice president, university public safety; assistant vice president, business management; assistant vice president, business services;

CONFLICT OF INTEREST (contd)

Amended Rules (contd)

assistant vice president, university systems; director, internal auditing; and such other associates as may be authorized from time to time.

3335-3-155 Vice president for finance.

- (A) The vice president for finance shall be the executive head of the office of finance.
- (B) The major area of responsibility and authority of the vice president for finance shall be that of the administration of university finances, including planning and resource allocation. Without limiting the generality of the foregoing, the vice president for finance shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: administration of the office of university treasurer, EXCEPTING THEREFROM THOSE FUNCTIONS RESERVED EXCLUSIVELY TO THE BOARD TREASURER AND TRUSTEES IN PARAGRAPH (H) (2) OF BYLAW 3335-1-02 OF THE ADMINISTRATIVE CODE; formulation, review and monitoring of capital and current funds budgets; managing university debt instruments, investments and cash flow; financial accounting and management; monitoring the state budget process and its impact on the university; indirect cost analysis and recovery; space use projections and planning; enrollment projections and long-range financial planning in the context of the academic mission and goals of the university.
- (C) The principal administrative officials in the office of finance shall be the university treasurer, the assistant vice president for facilities planning, the assistant vice president of university budget planning, the controller, the director of management studies, and such other associates as may be authorized from time to time.

Upon motion of Mr. Colley, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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REPORT ON RESEARCH FOUNDATION

Mr. Celeste:

You will find a copy of the report for October in front of your places and I might mention that since we don't have a Board meeting in January, I will at least give you a highlight of what is to come for November.

In this particular report you will note that in Tab 1, expenditures from external sources are ahead of last year by 8.4 percent for a total of \$61.4 million through October 31, 1994. Awards for the year are in excess of \$66 million or 13.5 percent above the July to October time period last year. The summary of the award totals is given in the second section of this report. I might mention -- as a heads-up for the month of November -- that we exceed the 13.5 percent and it appears in the preliminary numbers that we might come close to doubling that increase.

At Tab 3, four projects are highlighted and at Tab 4, a complete list of new awards received in October are presented. Tab 5, provides a summary of expenditures by academic area for Fiscal Year '94.

As you know, I have been meeting with some of Ohio State's finest -- in terms of folks that have been involved in various research projects. This past week, I made

December 2, 1994 meeting, Board of Trustees

#### REPORT ON RESEARCH FOUNDATION (contd)

Mr. Celeste: (contd)

my twentieth visit and this was with Professor Rebecca Jackson. I talked with her about the involvement that she's had with research and women's health issues. I continue to be amazed at the wonderful caliber of people that we have involved in these projects. I think that there are some tremendous opportunities to make those things known.

#### REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 95-64

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for October 1994 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 1994 be approved.

Upon motion of Mr. Celeste, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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#### HOSPITALS BOARD REPORT

Mr. Skestos:

At the November meeting the Administrative/Operations Committee reviewed the Fiscal Year 1993-94 operating activities and financial statistics of the local and regional networks. There was discussion concerning the number of outpatient and inpatient referrals and associated revenues.

In a continued effort to develop the programmatic parts of an integrated delivery system, briefings were provided on: 1) the strategic partnership being developed with Quorum Health, Incorporated; 2) the development of Medical Center based home healthcare services in partnership with a home health corporation; and 3) the imminent filing of a Medicare select health insurance product sponsored by the Medical Center.

The Hospitals Board also discussed the criteria and information that could be used to identify future hospital partners. This criteria will be discussed further. The Committee also approved an additional \$1 million (bringing the total to \$5 million) for Emergency Department renovation. The financial report reflects a strong operating performance in October.

December 2, 1994 meeting, Board of Trustees

#### HOSPITALS BOARD REPORT (contd)

Mr. Skestos: (contd)

The Board was also advised that the Hospitals had been identified for the second year in a row as a "top 100" institution by HCIA/Mercer. University Hospitals was one of only twenty-five institutions that received this recognition two years in a row.

That concludes my report.

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#### EDUCATIONAL AFFAIRS COMMITTEE REPORT

Mr. Skestos:

The Educational Affairs Committee met this morning and we first heard from Mr. Karl Stocker who was representing the Students for Students group. He gave us a draft of a summary of the students' concerns in connection with the proposed new student union building. We also heard a report from Mr. Bill Shkuril on general fees uses and the expenditures of those revenues.

We also heard from Dr. Ray and Provost Sisson in connection with the incentive-based budgeting. At that meeting, there were five or six faculty representatives and we discussed the incentive budgeting methods and the faculty acceptance of that process.

At this time, I would like to call on Provost Sisson to present four agenda items for approval.

Provost Sisson:

Thank you, Mr. Chairman. We are requesting approval from the Board on the following four resolutions:

#### AMENDMENTS TO THE OHIO STATE UNIVERSITY - NEWARK BOARD APPOINTMENTS

Resolution No. 95-65

Synopsis: Amendments to the terms of service for two members of The Ohio State University - Newark Board is proposed.

WHEREAS the Board of Trustees on April 8, 1994, approved the establishment of The Ohio State University-Newark Board; and

WHEREAS It has been previously stipulated that "The Newark board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the Newark board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the Board of Trustees on August 31, 1994, approved the appointments for The Ohio State University-Newark Board; and

WHEREAS at the request of J. Gilbert Reese, and by the consent of Patsy P. Jones, they are asking that the Board of Trustees approve the following amendments in the terms of their service:

December 2, 1994 meeting, Board of Trustees

**AMENDMENTS TO THE OHIO STATE UNIVERSITY - NEWARK  
BOARD APPOINTMENTS (contd)**

J. Gilbert Reese from a three-year term to a one-year term ending 1995  
Patsy P. Jones from a one-year term to a three-year term ending 1997

NOW THEREFORE

BE IT RESOLVED, That the above mentioned amendments to The Ohio State University-Newark Board appointments, be approved.

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**NAMING OF PARK**

Resolution No. 95-66

Synopsis: Naming of park on the south side of 19th Avenue, east of Caldwell Lab, on the site of the old Communications Lab, on the main campus of The Ohio State University is proposed.

WHEREAS upon the recommendation of the President, with the concurrence of the President's Executive Committee, it has been proposed that the park next to Caldwell Lab on 19th Avenue be named the "Neal and Faye Smith Park" to reflect Professor Neal A. and Faye Schlupé Smith's lasting commitment to the advancement of the University through support and service; and

WHEREAS Professor Smith served as a faculty member in the Department of Electrical Engineering for nearly 40 years in the high voltage and power systems engineering areas, developing and expanding the High Voltage Lab in the Communications Lab (where the park is now located); and

WHEREAS Faye Smith worked for 25 years in the Board of Trustees office as Assistant to the Secretary of the Board of Trustees; and

WHEREAS the integrity of the power systems engineering program in Ohio State's College of Engineering is directly attributable to Professor Smith's dedication and good judgment in maintaining the program's structure, as well as his efforts as a researcher, industry consultant, and liaison between students and prospective employers; and

WHEREAS Professor Neal and Faye Smith bequeathed more than \$1 million to Ohio State:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned park on 19th Avenue shall be named the "Neal and Faye Smith Park." If, at some future time, a building should be constructed on the site of the Neal and Faye Smith Park, then either another park in the 19th Avenue vicinity should be named as the Neal and Faye Smith Park, or a plaque should be displayed on the wall of the new building, commemorating the late Professor Neal Smith and his wife, Faye.

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**PERSONNEL ACTIONS**

Resolution No. 95-67

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 4, 1994 meeting of the Board, including the following Professional Improvement Leaves, Professional Improvement Leave-Change in Dates, Emeritus Title, and Authorization as detailed in the University Budget be approved.

December 2, 1994 meeting, Board of Trustees

**PERSONNEL ACTIONS (contd)**

Professional Improvement Leaves

PATRICIA A. LATHER, Associate Professor, Department of Educational Policy and Leadership, effective Autumn Quarter 1995, Winter Quarter and Spring Quarter 1996.

MARY S. LEACH, Associate Professor, Department of Educational Policy and Leadership, effective Autumn Quarter 1995, Winter Quarter and Spring Quarter 1996.

Professional Improvement Leave-Change in Dates

MARTIN D. CAFFREY, Associate Professor, Department of Chemistry, change from Spring Quarter and Autumn Quarter 1995, and Winter Quarter 1996, to Autumn Quarter 1995, Winter Quarter and Spring Quarter 1996.

Emeritus Title

BRYAN E. WILDE, Department of Materials Science and Engineering, with the title Professor Emeritus, effective January 1, 1995.

Authorization

Authorization for the President to enter into negotiations with R. Reed Fraley, Executive Director and Assistant Vice President for Health Services, to facilitate the purchase of prior public service credit in the Public Employees Retirement System of Ohio.

The cost of such service shall be paid from unrestricted funds of the University as provided under Section 3345.16 of the Ohio Revised Code.

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**RESOLUTIONS IN MEMORIAM**

Resolution No. 95-68

Synopsis: Approval of five Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

Kaye R. Everett

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 21, 1994, of Kaye R. Everett, Professor Emeritus in the School of Natural Resources.

Dr. Everett was born on January 8, 1934, in Corning, New York. He received his B.A. (Geology) in 1955 from the University of Buffalo; and his M.Sc. (Geology/groundwater hydrology) in 1958 from the University of Utah.

Professor Everett began a 34-year relationship with the then Institute of Polar Studies in 1960. Under the guidance of Richard P. Goldthwait, he completed a Ph.D. dissertation in 1963 on downslope soil movement and deformation. After a brief period of employment as a contract administrator for the U.S. Army, he rejoined the Institute in 1966 when he was also appointed as an Assistant Professor in the Department of Agronomy at The Ohio State University. Dr. Everett continued his research on landscape instability and became an authority on the genesis and properties of the organic soil deposits of the state.

December 2, 1994 meeting, Board of Trustees

## RESOLUTIONS IN MEMORIAM (contd)

Kaye R. Everett (contd)

An expert in permafrost, geomorphology, and the genesis of soils, he was promoted to Associate Professor in 1970 and Professor in 1978. Over that period, he established an international reputation for his work on Arctic ecology and had recently initiated a new project to study greenhouse gas production in northern environments. Cold regions in which he did research included Alaska, Greenland, Antarctica, and Russia. While formally retiring from the Department in 1994, he had planned to continue his very active program in Arctic research.

Dr. Everett was a principal investigator or co-principal investigator on more than 30 federally supported research grants between 1960 and the present. He served as a consultant to Battelle Memorial Institute and various industries and federal agencies. Awards bestowed on him included Fellow, the Arctic Institute of North America and Fellow, the Ohio Academy of Science. His publications included more than 60 journal articles and 3 book chapters.

On behalf of the University, the Board of Trustees expresses to the family of Professor Everett its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Hugh D. Hindman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 12, 1994, of Hugh D. Hindman, Athletic Director Emeritus in the Department of Athletics.

Mr. Hindman served the University for twenty-one years, starting in 1963 when he joined The Ohio State University football staff as an assistant to head coach Woody Hayes. He remained in that capacity for the next seven years and was widely recognized as one of the most knowledgeable offensive line coaches in college football. On game days, he also served as Ohio State's offensive coordinator. During his seven years as an assistant coach, The Ohio State University won the 1968 National Championship and captured three straight Big Ten titles between 1968 and 1970. The Buckeyes also played in the 1969 and 1971 Rose Bowls.

Following the 1970 season, Mr. Hindman left coaching to become Associate Athletic Director. He served in that capacity for seven years before being appointed Director of Athletics in 1977. Mr. Hindman was Director of Athletics at Ohio State between 1977 and 1984. During his tenure, the athletic program expanded to 31 sports, making it the largest in the nation. Under his guidance, the Ohio State program was extremely successful, winning numerous team and individual championships, as well as All-America acclaim. Additionally, in a time of ever spiraling costs, he founded the Buckeye Club, whose members help offset the cost of Ohio State's grant-in-aid program.

Hugh Hindman was also highly respected on the national level. At the time of his retirement, he served as chair of two of the NCAA's most prestigious committees, the Football Rules Committee and the Football Television Committee. He also was chair of the Big Ten's Bowl Liaison Committee. Those positions speak to the high esteem in which he was held by his peers.

A native of Columbus, Mr. Hindman graduated from North High School and Miami (Ohio). He began his coaching career as head coach at Grandview High School in Columbus, and later coached at North High School and Ohio University. An excellent teacher of the game, Mr. Hindman was considered a players' coach -- demanding, yet compassionate and caring.

On behalf of the University, the Board of Trustees expresses to the family of Hugh Hindman its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

December 2, 1994 meeting, Board of Trustees

## RESOLUTIONS IN MEMORIAM (contd)

William E. Jennings

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 1, 1994, of William E. Jennings, Professor Emeritus in the Department of Educational Policy and Leadership.

Dr. Jennings was born July 22, 1907, in Knoxville, Tennessee. He received his B.A. from Goshen College, his M.A. from the University of Tennessee, and in 1952, his doctorate in Education from The Ohio State University.

In Tennessee, William Jennings taught at both the elementary and secondary levels. The University of Tennessee, the University of Georgia, and the University of New Mexico were also blessed to have William Jennings as a part of their teaching staff. But Ohio State was the place that Dr. Jennings became a true part of and Ohio State and the "Scarlet and Gray" was the school that he would ultimately consider home. He was a Professor in Education at Ohio State from 1947 to 1972.

Upon his retirement he and his wife, Ann Miller Jennings, now deceased, moved to Goshen, Indiana. They also spent time at their home in Ft. Worth, Florida. Even after moving away from Columbus and Ohio State, Dr. Jennings kept up with the news at Ohio State. His son, William C. Jennings, informed us that he kept some of his Christmas cards from the faculty of the College of Education in with his important papers.

On behalf of the University, the Board of Trustees expresses to the family of Professor Jennings its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Henry H. Simms

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 27, 1994, of Henry H. Simms, Professor Emeritus in the Department of History.

A native of Virginia and a graduate of William and Mary, he held a Ph.D. from Columbia University, and had taught earlier at Washington and Lee University and the University of Virginia.

Professor Simms served on the faculty of the Department of History from 1929 to 1966. Upon his retirement in 1966, he was named Professor Emeritus. At Ohio State, he was a much liked and admired teacher, and he directed the dissertations of more than twenty Ph.D. students, including Helen Edmonds and Earle E. Thorpe.

He was considered one of the outstanding figures in Southern United States history. Among his best known books were: *The Rise of the Whigs in Virginia* (1929), *The Life of John Taylor: The Story of a Brilliant Leader in the Early Virginia State Rights School* (1932), *A Decade of Section Controversy, 1851-1861* (1942), *Ohio Politics on the Eve of Conflict* (1961), and *Emotion at High Tide: Abolition as a Controversial Factor, 1830-1845* (1960).

On behalf of the University, the Board of Trustees expresses to the family of Professor Simms its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.



December 2, 1994 meeting, Board of Trustees

#### RESOLUTIONS IN MEMORIAM (contd)

Oram C. Woolpert

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 21, 1994, of Oram C. Woolpert, Professor Emeritus in the Department of Microbiology.

Professor Woolpert was a graduate of Harvard; he earned his Ph.D. and M.D. degrees at the University of Chicago. He did his internship at Presbyterian Hospital and his residency at Chicago Municipal Contagious Disease Hospital.

Professor Woolpert taught courses in bacteriology and pathogenic and infectious diseases. He did pioneering research in the area of food poisoning, discovering *Streptococcus* food poisoning. He published many articles on that topic and others in the fields of virology, immunology and experimental medicine.

During World War II, Dr. Woolpert served one year commanding the 1st Service Command Laboratory, Boston, and served three years as director of Research and Development in Biological Warfare at Camp Detrich, in Frederick, Maryland. Professor Woolpert then went on to serve an additional six years in the same capacity, but employed by Civil Service.

Dr. Woolpert returned to Ohio State in 1952, as executive director of the OSU Research Foundation. Professor Woolpert retired in 1963, but continued to serve as consultant for the government.

He was the recipient of numerous awards, including: the Rush Prize and Medal, Ricketts Prize, and Legion of Merit and was also elected to two honor societies: Sigma Xi and Alpha Omega Alpha.

Professor Woolpert was a Colonel in the US Army Medical Corps Reserve and later transferred to the Navy as Captain, USNR Reserve Medical Corps.

On behalf of the University, the Board of Trustees expresses to the family of Professor Woolpert its deep sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Upon motion of Mr. Skestos, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote.

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#### INVESTMENTS COMMITTEE REPORT

Amb. Wolf:

The Investments Committee met earlier today and heard reports on the Endowment Portfolio and the Endowment income distribution from the Treasurer, Jim Nichols. I would like to call on Mr. Nichols to read the details of the report which he reviewed this morning with our Committee.

Mr. Nichols:

Thank you, Mr. Ambassador. The monthly report market value as of November 18 was \$488.4 million. This was approximately \$3.5 million below the October 21 value and the equity portion decreased \$2.8 million, while the fixed income portion decreased \$1.1 million. New additions for November total \$1.5 million. Since the beginning of the fiscal year on July 1, the Endowment Fund has increased \$2.4 million, including net new additions of \$5.6 million. Current asset allocations

December 2, 1994 meeting, Board of Trustees

#### INVESTMENTS COMMITTEE REPORT (contd)

Mr. Nichols: (contd)

remain steady at 61 percent in equities, 24 percent in fixed income, 8 percent in real estate, and 7 percent in cash.

The Investments Committee also discussed the Endowment income distribution for the year. The Committee will be having further discussions on this particular subject in the coming months and a recommendation will be brought to the Board at that time. That concludes my report, Mr. Ambassador.

Amb. Wolf:

Thank you very much. Mr. Chairman, in addition to Mr. Nichols' report, we heard a very interesting report from Jerry May, Vice President for Development, and at the conclusion of Mr. May's review, I will like to ask for a couple of motions.

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#### UNIVERSITY FOUNDATION BOARD REPORT

Mr. Jerry May:

Thank you, Mr. Ambassador. If I could, I would like to do a two-part report. The first part has to do with the University Foundation Board meeting, which took place on November 18, 1994. That meeting was called to order by Chairman John B. Gerlach, Sr. Mr. Gerlach welcomed four new members to The Ohio State University Foundation Board: Loann Crane of Columbus, Ray Groves of New York City, Teckle Shackelford of Columbus, and Barbara Trueman of Columbus. In addition, Mr. Gerlach noted that University Trustee David Brennan had been appointed as an ex-officio member of The Ohio State University Foundation Board.

Treasurer James Nichols announced that the Foundation's assets had now reached \$34.4 million and for the first time the Foundation transferred more than \$1 million in income to the University during the fiscal year. The Foundation has now transferred more than \$4.6 million to the University.

Andy Geiger was a featured speaker during that meeting and he gave a presentation on the five key athletic fundraising priorities, totaling approximately \$65 million. Those priorities have to do with: 1) a special objective toward the basketball arena; 2) the baseball stadium, and the fundraising is far along on this; 3) the current rate annual fund scholarships for athletics, and that is a priority; 4) the endowed scholarship area -- will be a high priority in the future for fundraising for the athletic program; and 5) an academic support center for students and for student athletes. In addition, Andy discussed other needs that Athletics has having to do with a new aquatic center, indoor tennis facility, renovation of a softball facility, and improvements for sports medicine.

President Gee gave a report to the Board and he talked about the accomplishments of restructuring and the necessity of private funding to pursue high standards of quality for the future.

Finally, there was some discussion at the meeting and extensive discussion in a Campaign Planning Committee Meeting that I would like to report to you. The Campaign Planning Committee met and discussed campaign goals for the new

December 2, 1994 meeting, Board of Trustees

# UNIVERSITY FOUNDATION BOARD REPORT (contd)

Mr. May: (contd)

campaign to be announced next fall. It also discussed that those goals will be set and established by summer 1995.

There was also a discussion that the kick-off for the five-year campaign is now planned for the weekend of September 28-30, 1995. There was discussion in the Campaign Planning Committee about the proposed minimum funding levels for named endowed funds such as chairs, professorships, scholarships, and fellowships. And there was a discussion regarding donor recognition levels and proposed donor recognition levels in the future, a volunteer organization for the campaign, and a peer screening program.

That concludes my report about the Foundation meeting.

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## DEVELOPMENT FUND REPORT

Mr. May:

The report on private support is in your notebooks under the Tab Development. You will notice that from July through October, the private dollars for the first quarter of this fiscal year are about \$4 million or 30 percent ahead of last year. Some other highlights of the report that I would like to share with you are as follows: individual giving is up 59 percent, due to strong increases in both alumni giving and non-alumni giving; we received nearly \$4 million more this year in gifts of \$10,000 or more from individuals, as compared to last year; corporate giving is up 24 percent; and gifts added to the Endowment are keeping pace with last year, and we expect that to continue to have strong performances.

Mr. Chairman, I would recommend that the Board note the establishment of a new named endowed chair which is listed in your materials, as well as five new named endowed funds totaling \$1,336,000. In addition, I would recommend that the Board approve the acceptance of condominium units at Riverwatch Tower, which is in a separate section of your notebook listed under Property.

## REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 95-70

Synopsis: The report on the receipt of gifts and the summary for October 1994 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS the funding level has been reached to establish The Ford Motor Company Chair in Electromechanical Systems; and

WHEREAS this report includes the establishment of five (5) new named endowed funds:

December 2, 1994 meeting, Board of Trustees

## REPORT ON UNIVERSITY DEVELOPMENT (contd)

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 1994 be approved.

Upon motion of Amb. Wolf, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

## TOTAL UNIVERSITY PRIVATE SUPPORT

July-October  
1993 Compared to 1994

## GIFT RECEIPTS BY DONOR TYPE

|                                    | Dollars<br>July through October |              |                   |
|------------------------------------|---------------------------------|--------------|-------------------|
|                                    | 1993                            | 1994         | % Change          |
| Individuals:                       |                                 |              |                   |
| Alumni (Current Giving)            | \$2,086,016                     | \$3,282,544  | 57%               |
| Alumni (From Bequests)             | 554,242                         | 1,005,935    | 81%               |
| Alumni Total                       | \$2,640,258                     | \$4,288,479  | 62%               |
| Non-Alumni Current Giving)         | \$1,899,758                     | \$4,500,873  | 137%              |
| Non-Alumni (From Bequests)         | 1,604,225                       | 952,807      | -41%              |
| Non-Alumni Total                   | \$3,503,983                     | \$5,453,680  | 56%               |
| Individual Total                   | \$6,144,241                     | \$9,742,159  | 59% <sup>a</sup>  |
| Corporations/Corp. Foundations     | \$5,530,272                     | \$6,879,296  | 24% <sup>b</sup>  |
| Private Foundations                | \$1,674,906                     | \$911,418    | -46% <sup>c</sup> |
| Associations & Other Organizations | \$767,877                       | \$823,675    | 7%                |
| TOTAL                              | \$14,117,296                    | \$18,356,548 | 30%               |

## NOTES

- Overall individual giving is up 59% with strong support for both alumni and non-alumni. Alumni giving is up 62%. So far this year gifts of \$10,000 or more from alumni total \$3.0 million. For the same period of time last year gifts at this level totaled \$1.3 million.
- Non-alumni giving is up 56% for the first quarter. Gifts of \$10,000 or more total \$4.6 million this year compared with \$2.6 million for the first quarter last year.
- Corporate giving is up 24%. Last year there were 136 corporations providing private support of \$10,000 or more (\$3.8 million). This year private support at the \$10,000 level exceeds \$5.0 million (148 corporations).
- Gifts of \$10,000 or more from private foundations are about \$700,000 less than last year for the first quarter.



December 2, 1994 meeting, Board of Trustees

# REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)  
July-October (contd)  
1993 Compared to 1994 (contd)

## GIFT RECEIPTS BY PURPOSE

|                                   | Dollars<br>July through October |              |          |
|-----------------------------------|---------------------------------|--------------|----------|
|                                   | 1993                            | 1994         | % Change |
| Gift Additions to Endowment:      | \$3,880,626                     | \$3,997,855  | 3        |
| Gifts to Current Use Funds:       |                                 |              |          |
| Buildings/Equipment               | \$1,209,225                     | \$5,304,094  | 339      |
| Program Support                   | \$5,144,828                     | \$5,887,606  | 14       |
| Student Financial Aid             | \$1,386,261                     | \$1,212,749  | (13)     |
| Annual Funds-Colleges/Departments | \$2,179,277                     | \$1,837,415  | (16)     |
| Annual Funds-University           | \$317,079                       | \$116,829    | (63)     |
| Total                             | \$14,117,296                    | \$18,356,548 | 30       |

## THE OHIO STATE UNIVERSITY FOUNDATION

|  | Previous<br>Gifts | Current<br>Gifts | Total<br>Gifts |
|--|-------------------|------------------|----------------|
| <u>Establishment of a Named Endowed Chair</u>                |                   |                  |                |
| The Ford Motor Company Chair<br>in Electromechanical Systems | \$1,250,000.00    |                  | \$1,250,000.00 |

## THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

### Establishment of Named Endowed Funds

|  |             |             |
|--|-------------|-------------|
| The Gilbert Moody University Scholarship<br>Fund<br>(Scholarships - Max M. Fisher<br>College of Business)  | \$25,000.00 | \$25,000.00 |
| The Henry H. Simms Fund<br>(Awards to Graduate Students<br>in American History - College of<br>Humanities) | \$15,850.00 | \$15,850.00 |

December 2, 1994 meeting, Board of Trustees

# REPORT ON UNIVERSITY DEVELOPMENT (contd)

## THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

|  | Previous<br>Gifts | Current<br>Gifts | Total<br>Gifts |
|--|-------------------|------------------|----------------|
| <u>Establishment of Named Endowed Funds (contd)</u>  |                   |                  |                |
| The Molra McKenzie Award for<br>Graduate Student Study in Children's<br>Literacy Learning<br>(Research Support in Children's<br>Literacy Learning - College of Education)                            | \$15,652.00       |                  | \$15,652.00    |
| Mitsugi Satow-Rakuno Gakuen<br>Scholastic Endowment Fund<br>(Program Support for Joint Research by<br>Rakuno Gakuen University and The<br>Ohio State University - College of<br>Veterinary Medicine) |                   | \$15,000.00      | \$15,000.00    |
| The Alan R. Oatey Innovation Fund<br>in Business<br>(Program Support - Max M. Fisher<br>College of Business)   | \$15,000.00       |                  | \$15,000.00    |
| Total  | \$1,321,502.00    | \$15,000.00      | \$1,336,502.00 |

## THE OHIO STATE UNIVERSITY FOUNDATION

### ESTABLISHMENT OF NAMED CHAIR

Synopsis: A recommendation to change "The Ford Motor Company Chair in Electromechanical Systems Fund" to "The Ford Motor Company Chair in Electromechanical Systems" is proposed.

WHEREAS in accordance with the guidelines previously established by the Board of Trustees, "The Ford Motor Company Chair in Electromechanical Systems Fund" was established September 7, 1990, through funds received by the University from The Ohio State University Foundation from the Ford Motor Company Fund of Dearborn, Michigan; and

WHEREAS the funding has now reached the level required to establish a chair:

### NOW THEREFORE

BE IT RESOLVED, That "The Ford Motor Company Chair in Electromechanical Systems Fund" was changed to "The Ford Motor Company Chair in Electromechanical Systems." In keeping with the provisions of the original endowed fund, income provided by the Foundation shall be distributed to the College of Engineering to be used to promote and support teaching and research in Electromechanical Systems in the College of Engineering. When the Ford Motor Company professor has been appointed, the annual income shall be used to provide salary and program support for one joint appointment in both the Department of Electrical Engineering and the Department of Mechanical Engineering of the College of Engineering. Appointment to the Ford Motor Company Chair shall be recommended by the Dean of the College of Engineering to the Provost and approved by the Board

December 2, 1994 meeting, Board of Trustees

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

**ESTABLISHMENT OF NAMED CHAIR (contd)**

of Trustees. The chair holder shall report annually to the Ford Motor Company Fund on the goals, objectives, and plans for the chair in the upcoming academic year. These yearly reports will describe also the use of all fund earnings, past and projected, over the same two-year period.

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

**Establishment of Named Endowed Funds**

**The Gilbert Moody University Scholarship Fund**

The Gilbert Moody University Scholarship Fund was established December 2, 1994, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Gilbert Moody, (B.S.Bus.Adm. '34).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide awards to undergraduate or graduate students enrolled in The Max M. Fisher College of Business and pursuing an insurance or related financial services curriculum. A merit scholar shall have first preference for the award. Selection of the scholarship award recipients shall be made by the College Scholarship Committee in cooperation with University Honors and in consultation with the University Committee on Student Financial Aid, and approved by the Dean of The Max M. Fisher College of Business.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

**The Henry H. Simms Fund**

The Henry H. Simms Fund was established December 2, 1994, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Dr. Richard W. Smith (Ph.D., History, '59), and his wife Betty J. Smith in honor of former Ohio State University Department of History Professor, Henry H. Simms.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide financial assistance to graduate students in American History at The Ohio State University performing research on or writing their dissertations. Awards shall be made to those students focusing on some aspect of one of the following fields of study: the Colonial and Antebellum South, the Slavery Controversy, the Civil War and the Reconstruction Period.

December 2, 1994 meeting, Board of Trustees

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)**

**Establishment of Named Endowed Funds (contd)**

**The Henry H. Simms Fund (contd)**

In the event that graduate instruction is not given in any of the above mentioned fields of study then the income shall be used to provide financial assistance to graduate students at The Ohio State University performing research on or writing their dissertations in other fields of American History prior to 1877.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$15,850.00

**The Moira McKenzie Award for Graduate Student Study  
in Children's Literacy Learning**

The Moira McKenzie Award for Graduate Student Study in Children's Literacy Learning was established December 2, 1994, by the Board of Trustees of The Ohio State University, with gifts to The Ohio State University Development Fund from friends and family of Moira McKenzie, (Ph.D. Education, '74).

Dr. Moira McKenzie, recipient of the College of Education Award of Distinction in 1992, personified international distinction with her contributions to the education of young children in both England and the United States. While pursuing a doctorate at The Ohio State University in 1974, she helped to develop the innovative pre-service education strand known as EPIC (Educational Programs in Integrated Classrooms). Returning to England, she directed one of the teacher centers of the Inner London Education Authority. In recognition of her contributions to young children's education in England, she was made a Member of the British Empire by Queen Elizabeth II. Dr. Moira McKenzie died October 14, 1993. The Moira McKenzie Award for Graduate Study in Children's Literacy Learning recognizes her commitment to early literacy and to The Ohio State University, and stands as a memorial to her achievement.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support graduate student research of classroom influences upon children's literacy learning which is conceived as going beyond reading and writing. The award recipient(s) will be expected to develop a research plan which includes the preparation of a report that can be disseminated to teachers and others. Selection of the McKenzie Award recipient(s) shall be made by the Dean of the College of Education, in consultation with the faculty in the area of children's literacy.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$15,652.00

December 2, 1994 meeting, Board of Trustees

## REPORT ON UNIVERSITY DEVELOPMENT (contd)

### THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

#### Establishment of Named Endowed Funds (contd)

##### Mitsugi Satow-Rakuno Gakuen Scholastic Endowment Fund

The Mitsugi Satow-Rakuno Gakuen Scholastic Endowment Fund was established December 2, 1994, by the Board of Trustees of The Ohio State University with gifts from Kogo Yusa and many friends in honor of Mitsugi Satow (B.S.Agr. '21; M.S. Agriculture, '22), Chairman Emeritus, Board of Trustees at the Rakuno Gakuen University, Ebetsu, Japan.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to The Ohio State University to provide stipends to support various joint research programs involving exchange scientists from the Rakuno Gakuen University and researchers at The Ohio State University. (Activation of the income should be executed only after an accumulation of a total amount in excess of US \$200,000.00 expected by the year 2000 AD, as the principal).

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$15,000.00

##### The Alan R. Oatey Innovation Fund in Business

The Alan R. Oatey Innovation Fund in Business was established December 2, 1994, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund for support of The Max M. Fisher College of Business from Mr. Alan R. Oatey (B.S.Bus.Adm. '47).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the Dean of The Max M. Fisher College of Business to be used to fund new projects and activities which further the interests of the College. Allocations from this fund will act as catalysts for new program developments and be based on competitive processes.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$15,000.00

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Amb. Wolf:

We are in the process of receiving the first floor of Riverwatch Tower in Columbus, Ohio, which is presently being leased by us. The bottom-line is that it would save us

December 2, 1994 meeting, Board of Trustees

Amb. Wolf: (contd)

about \$80,000 a year if we accept this gift and do some financing with it. The resolution is in the book and I table that resolution for acceptance.

### BARGAIN SALE OF REAL PROPERTY THE OHIO STATE UNIVERSITY

Resolution No. 95-69

101-126 Riverwatch Tower  
364 West Lane Avenue, Columbus, Ohio

Synopsis: To authorize the acceptance of condominium units 101-126 of Riverwatch Tower (First Floor commercial space) subject to donor's related indebtedness, by The Ohio State University Foundation.

WHEREAS The Ohio State University Foundation has an opportunity to accept Units 101-126 of Riverwatch Tower, Columbus, Ohio, which is currently being leased by the University; and

WHEREAS the average appraised value of the property is \$552,500.00; and

WHEREAS the donor will also give approximately \$60,000.00 in cash and/or securities; and

WHEREAS the related indebtedness which The Ohio State University Foundation would assume would be approximately \$527,000; and

WHEREAS the appropriate Foundation and University officials have reviewed the potential donation and analyzed the related financial information and have estimated an annual savings for the University of at least \$40,000 by accepting the units versus continuing the existing lease:

#### NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees of The Ohio State University approve the Foundation's acceptance of Units 101-126 Riverwatch Tower, subject to indebtedness of approximately \$527,000; and

BE IT FURTHER RESOLVED, That the University be authorized to loan The Ohio State University Foundation money, at the University's current interest rate, to payoff the mortgage; and

BE IT FURTHER RESOLVED, That this transaction is approved subject to the appropriate University and Foundation officials executing a memorandum of understanding between the University and Foundation.

Upon motion of Amb. Wolf, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote.

(See Appendix XXIV for background information, page 433.)

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### STUDENT AFFAIRS COMMITTEE REPORT

Ms. Allabout:

This morning the Student Affairs Committee met and we heard from our student government leaders. The first report was from Mr. Steve Trenta, Vice President of the Undergraduate Student Government. He reported on various student government

December 2, 1994 meeting, Board of Trustees

**AUTHORIZATION FOR 1995 SMALL CAPITAL IMPROVEMENTS PROJECTS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration shall submit reports as appropriate to the Fiscal Affairs Committee for any such project undertaken pursuant to this authorization in which the total actual project cost exceeds \$1,000,000 and shall present to the Board an annual report on capital improvement projects completed, under construction, or in planning or design during 1995 and a written report to the Fiscal Affairs Committee of this Board on all contracts awarded pursuant to this authorization.

(See Appendix XXV for background information, page 435.)

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**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 95-72

**PARKS HALL RENOVATION  
RECREATION AND INTRAMURAL SPORTS FIELDS**

Synopsis: Authorization to employ architectural/engineering firms and request construction bids for the listed projects is requested.

WHEREAS the University desires to proceed with an addition to Parks Hall serving the College of Pharmacy to house a pharmaceutical care center, clinical faculty offices, meeting rooms, and a connector mall and renovations of several laboratories; and

WHEREAS the University has determined that it is in its best interest to combine the previously authorized Phases I and II with the newly received funding for Phase III into one comprehensive project; and

WHEREAS the total estimated project cost is \$1,450,000 and the total estimated construction cost is \$1,160,000, with funding provided by House Bill 715 (\$950,000), House Bill 790 (\$250,000) and College of Pharmacy and/or gifts (\$250,000); and

WHEREAS the Department of Recreation and Intramural Sports desires to proceed with the construction of new sports fields on approximately 38 acres of the west campus area; and

WHEREAS this project will provide for the replacement of the King Avenue playing fields and for the continued needs of intramural sports programs by providing two multi-purpose spaces containing eight softball and baseball fields, eight flag football fields, and two multi-purpose spaces for sport club practices, contest, and tournaments; and

WHEREAS the total estimated cost for replacement of the current playing fields is \$3,171,500 (with an estimated construction cost of \$2,775,000) and the total estimated cost for desired enhancements not available at the current fields is \$828,500 (with an estimated construction cost of \$725,000), with funding to be provided from future bond proceeds:

**NOW THEREFORE**

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for these projects and that the fees for these services be negotiated between the firms selected and the State of Ohio, Division of Public Works or the University as appropriate; and

December 2, 1994 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND  
REQUEST FOR CONSTRUCTION BIDS (contd)**

**PARKS HALL RENOVATION  
RECREATION AND INTRAMURAL SPORTS FIELDS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids on these projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to recommend the award of contracts to the Department of Administrative Services, Division of Public Works or issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That approval to proceed with the Recreation and Intramural Sports Fields project, including a decision as to whether the project will include the desired enhancements not available at the current fields, is subject to a memorandum of understanding to be entered into by the University offices involved; and

BE IT FURTHER RESOLVED, That in the event that these new playing fields need to be relocated in the future to accommodate another University or related use on this Lane Avenue site, the unit or area desiring to make use of this Lane Avenue site shall be responsible for funding the cost of relocating these fields to another location.

(See Appendix XXVI for maps, page 451.)

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**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS**

Resolution No. 95-75

Synopsis: Authorization to accept the report of award of contracts and establishment of contingency funds for the projects indicated.

WHEREAS a resolution adopted by the Board of Trustees on May 4, 1989 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

(\* Recommended alternates included in these totals.)

Watts Hall/Ohio Legal Center Roof Replacements

This project replaces the deteriorated roofing on both buildings. The total project cost is \$140,000; funding is provided from Senate Bill 351. The completion date was September 1994. The contract awarded is as follows:

|                   |                                  |
|-------------------|----------------------------------|
| General Contract: | Enterprise Roofing, Dayton, Ohio |
| Amount:           | \$127,676 *                      |
| Estimate:         | \$128,000 *                      |

December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Watts Hall/Ohio Legal Center Roof Replacements (contd)

Total All Contracts: \$127,676  
Contingency Allowance: \$10,111 (8% of construction cost)  
Total Project Cost: \$140,000

WHEREAS a resolution adopted by the Board of Trustees on October 5, 1990 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

Main Library - Roof Replacement

This project will remove the existing deteriorated built-up roof and install new tapered insulation and a 4-ply built-up roof. The total project cost is \$338,500; funding is provided from Senate Bill 351. The estimated completion date is January 1995. The contract awarded is as follows:

General Contract: South Texas and Lone Star Drywall, Columbus, Ohio  
Amount: \$278,724  
Estimate: \$279,600  
Total All Contracts: \$278,724  
Contingency Allowance: \$27,789 (10% of construction cost)  
Total Project Cost: \$338,500

Integrated Central Alarm Monitor Upgrade

This project upgrades and replaces the present fire alarm and monitoring system with a new system with the capacity to monitor all buildings and systems on campus and allow the fire and security systems to be under central control. The total project cost is \$450,000; funding is provided from Senate Bill 351. The completion date was September 1994. The contract awarded is as follows:

General Contract: Acree Daily, Columbus, Ohio  
Amount: \$400,095 \*  
Estimate: \$510,600 \*  
Total All Contracts: \$400,095  
Contingency Allowance: \$34,417 (8.6% of construction cost)  
Total Project Cost: \$450,000

December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

OARDC - Poultry Research Facility

This project will replace the pole shelter currently used to house male turkeys from genetic stocks. The new facility will approximate commercial housing conditions and allow for nutrition and environmental studies. The total project cost is \$615,071; funding is provided from Senate Bill 351 and House Bill 904. The estimated completion date is January 1995. The contracts awarded are as follows:

General Contract: Ben D. Imhoff, Inc., Orrville, Ohio  
Amount: \$377,872  
Estimate: \$358,060  
Plumbing Contract: D and A Plumbing and Heating, North Canton, Ohio  
Amount: \$73,495 +  
Estimate: \$57,455  
HVAC Contract: Feinman Mechanical, Inc., North Canton, Ohio  
Amount: \$40,908  
Estimate: \$71,700  
Electric Contract: Abbott Electric, Inc., Canton, Ohio  
Amount: \$49,548 +  
Estimate: \$48,100 +  
Total All Contracts: \$541,823  
Contingency Allowance: \$43,346 (8% of construction cost)  
Total Project Cost: \$615,071

+ The Plumbing and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

OARDC - Wooster Campus Water System Improvements, Phase II

This phase of the project paints and updates the water storage tank on the OARDC Wooster Campus. The total phase II project cost is \$146,000; funding is provided from House Bill 715. The completion date was October 1994. The contract awarded is as follows:

December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Wilce Student Health Center - Chiller/Cooling Tower Replacement (contd)

|                        |                                     |
|------------------------|-------------------------------------|
| Electric Contract:     | Fetter Electric, Newark, Ohio       |
| Amount:                | \$11,650                            |
| Estimate:              | \$14,550                            |
| Total All Contracts:   | \$131,150                           |
| Contingency Allowance: | \$13,118 (10% of construction cost) |
| Total Project Cost:    | \$147,080                           |

WHEREAS a resolution adopted by the Board of Trustees on March 5, 1993 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

Classroom Improvements - Independence Hall

This project remodels the lecture hall in Independence Hall, including the refurbishing of the seats with new pads and upholstery, new carpet, and audio-visual equipment. The total project cost is \$278,200; funding is provided from House Bill 904. The estimated completion date is October 1994. The contracts awarded are as follows:

|                        |                                       |
|------------------------|---------------------------------------|
| General Contract:      | Good Home Maintenance, Columbus, Ohio |
| Amount:                | \$209,719 * +                         |
| Estimate:              | \$201,128 *                           |
| Electric Contract:     | Romanoff Electric, Columbus, Ohio     |
| Amount:                | \$7,435                               |
| Estimate:              | \$11,250                              |
| Total All Contracts:   | \$217,154 *                           |
| Contingency Allowance: | \$21,724 (10% of construction cost)   |
| Total Project Cost:    | \$278,200                             |

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Scott Hall Remodeling, Phase IIC

This project provides renovations to install a cold room, smoke detection panel, smoke alarm system, and emergency power system for the Byrd Polar Research area. The total project cost is \$400,000; funding is provided from a Board of Regents grant. The completion date was September, 1994. The contracts awarded are as follows:

|                        |                                       |
|------------------------|---------------------------------------|
| General Contract:      | M & P Construction, Gahanna, Ohio     |
| Amount:                | \$189,700 *                           |
| Estimate:              | \$202,000 *                           |
| Plumbing Contract:     | Farber Corporation, Columbus, Ohio    |
| Amount:                | \$8,397                               |
| Estimate:              | \$14,000                              |
| Electric Contract:     | Royal Electric, Columbus, Ohio        |
| Amount:                | \$127,900                             |
| Estimate:              | \$160,000                             |
| Total All Contracts:   | \$325,997                             |
| Contingency Allowance: | \$34,215 (10.5% of construction cost) |
| Total Project Cost:    | \$400,000                             |

Chadwick Arboretum Lake

This project constructs a lake and appropriate drainage. The total project cost is \$262,000; funding is provided from the Department of Physical Facilities. The completion date was July 1994. The contract awarded is as follows:

|                        |                                     |
|------------------------|-------------------------------------|
| General Contract:      | George Igel, Columbus, Ohio         |
| Amount:                | \$237,915                           |
| Estimate:              | \$220,000                           |
| Total All Contracts:   | \$237,915                           |
| Contingency Allowance: | \$23,785 (10% of construction cost) |
| Total Project Cost:    | \$262,600                           |

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

930 Kinnear Road (Center for Automotive Research), Phase II

This project renovates the building at 930 Kinnear Road for use by the College of Engineering's Center for Automotive Research and to ensure compliance with Ohio Building Code and ADA requirements. The total project cost is \$278,500; funding is provided from University funds. The completion date was October 1994. The contracts awarded are as follows:

|                        |  |
|------------------------|--|
| General Contract:      | Gutknecht Construction, Columbus, Ohio           |
| Amount:                | \$120,954 +                                      |
| Estimate:              | \$89,000   |
| Plumbing Contract:     | J. A. Croson, Columbus, Ohio                     |
| Amount:                | \$8,489  |
| Estimate:              | \$9,800  |
| HVAC Contract:         | Columbus Heating and Ventilating, Columbus, Ohio |
| Amount:                | \$55,900   |
| Estimate:              | \$58,500   |
| Electric Contract:     | MCS Electric, Groveport, Ohio                    |
| Amount:                | \$37,800   |
| Estimate:              | \$49,700   |
| Total All Contracts:   | \$223,143  |
| Contingency Allowance: | \$22,242 (10% of construction cost)              |
| Total Project Cost:    | \$278,500  |

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

OARDC - Road Resurfacing, Phase II

This project will repair and resurface the main roads on the OARDC Wooster Campus. The total project cost is \$187,500; funding is provided from House Bill 715. The estimated completion date is December 1994. The contract awarded is as follows:

|                   |                                       |
|-------------------|---------------------------------------|
| General Contract: | Northstar Asphalt, North Canton, Ohio |
| Amount:           | \$164,282 *                           |
| Estimate:         | \$221,450 *                           |

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

OARDC - Road Resurfacing, Phase II (contd)

|                        |                                     |
|------------------------|-------------------------------------|
| Total All Contracts:   | \$164,282                           |
| Contingency Allowance: | \$16,425 (10% of construction cost) |
| Total Project Cost:    | \$187,850                           |

Law Building - Roof Replacement

This project will replace 77% of the roof with built-up roofing and flashing. The total project cost is \$205,000; funding is provided from House Bill 715. The estimated completion date is January 1995. The contract awarded is as follows:

|                        |                                      |
|------------------------|--------------------------------------|
| General Contract:      | P & H Roofing, Columbus, Ohio        |
| Amount:                | \$188,000 +                          |
| Estimate:              | \$182,400                            |
| Total All Contracts:   | \$188,000                            |
| Contingency Allowance: | \$13,720 (7.3% of construction cost) |
| Total Project Cost:    | \$205,000                            |

+ The General contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Sidewalk Safety Improvements

This project will replace deteriorated concrete and asphalt sidewalks with a combination of concrete and unit precast pavers in the vicinity of Fyffe Road, Woody Hayes Drive, Ohio Union Drive, and College Road locations. The total project cost is \$489,800; funding is provided from House Bill 715. The estimated completion date is August 1995. The contract awarded is as follows:

|                        |  |
|------------------------|--|
| General Contract:      | Gutknecht Construction, Columbus, Ohio |
| Amount:                | \$440,000 *                            |
| Estimate:              | \$519,300                              |
| Total All Contracts:   | \$489,800                              |
| Contingency Allowance: | \$44,000 (9% of construction cost)     |
| Total Project Cost:    | \$489,800                              |



December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Pomerene Hall Roof Replacement

This project will replace the entire roof, including slate, built-up, and copper seamed roofing and all flashing. The total project cost is \$380,000; funding is provided from House Bill 715. The estimated completion date is May, 1995. The contract awarded is as follows:

|                        |                                      |
|------------------------|--------------------------------------|
| General Contract:      | Olentangy Restoration, Marlon, Ohio  |
| Amount:                | \$322,000 *                          |
| Estimate:              | \$327,210 *                          |
| Total All Contracts:   | \$322,000 *                          |
| Contingency Allowance: | \$23,978 (7.5% of construction cost) |
| Total Project Cost:    | \$380,000                            |

WHEREAS a resolution adopted by the Board of Trustees on June 4, 1993 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

Rhodes Hall Roof Replacement

This project will repair and replace the entire roof system on Rhodes Hall. The total project cost is \$525,000; funding is provided from University Hospitals. The estimated completion date is December 1994. The contract awarded is as follows:

|                        |  |
|------------------------|--|
| General Contract:      | Phinney Industrial Roofing, Dublin, Ohio |
| Amount:                | \$414,000 *                              |
| Estimate:              | \$418,000 *                              |
| Total All Contracts:   | \$414,000                                |
| Contingency Allowance: | \$51,286 (12% of construction cost)      |
| Total Project Cost:    | \$525,000                                |

WHEREAS a resolution adopted by the Board of Trustees on September 1, 1993 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

Dodd Hall Recreation and Therapy Park

This project constructs a safe and secure outdoor park to accommodate active and passive recreation to support structured programs for the patients of Dodd Hall. The total project cost is \$177,495; funding is provided from University Hospitals. The completion date is July 1994. The contracts awarded are as follows:

December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Dodd Hall Recreation and Therapy Park (contd)

|                        |                                     |
|------------------------|-------------------------------------|
| General Contract:      | Wise Construction, Dayton, Ohio     |
| Amount:                | \$130,000                           |
| Estimate:              | \$148,700                           |
| Electric Contract:     | D'Laurin Electric, Dayton, Ohio     |
| Amount:                | \$14,974                            |
| Estimate:              | \$48,500                            |
| Total All Contracts:   | \$144,974                           |
| Contingency Allowance: | \$14,497 (10% of construction cost) |
| Total Project Cost:    | \$177,495                           |

Newark Regional Campus - LeFevre Parking Lot

This project constructs a parking lot adjacent to LeFevre Hall on the Newark Campus. The total project cost is \$293,500; funding is provided by Newark Regional Campus (\$11,000) and House Bill 904 (\$282,500). The estimated completion date is October 1994. The contracts awarded are as follows:

|                        |   |
|------------------------|---|
| General Contract:      | Kokosing Construction, Fredricktown, Ohio |
| Amount:                | \$182,700                                 |
| Estimate:              | \$210,100                                 |
| Electric Contract:     | Kokosing Construction, Fredricktown, Ohio |
| Amount:                | \$69,614 *                                |
| Estimate:              | \$76,200 *                                |
| Total All Contracts:   | \$252,314                                 |
| Contingency Allowance: | \$25,414 (10% of construction cost)       |
| Total Project Cost:    | \$293,500                                 |

WHEREAS a resolution adopted by the Board of Trustees on December 3, 1993 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Radiation Dosimetry Calibration Facility

This project will construct a facility to house the nuclear engineering program. The total project cost is \$370,000; funding is provided from University funds (\$74,000) and the Ohio Energy Management Association (\$296,000). The completion date was September 1994. The contracts awarded are as follows:

|                        |                                       |
|------------------------|---------------------------------------|
| General Contract:      | Central Ohio Building, Columbus, Ohio |
| Amount:                | \$199,890 +                           |
| Estimate:              | \$198,644                             |
| Plumbing Contract:     | Radico, Columbus, Ohio                |
| Amount:                | \$27,000 +                            |
| Estimate:              | \$18,720 +                            |
| HVAC Contract:         | C. R. Legner, Columbus, Ohio          |
| Amount:                | \$33,500 +                            |
| Estimate:              | \$25,604 +                            |
| Electric Contract:     | Fetter Electric, Newark, Ohio         |
| Amount:                | \$42,650                              |
| Estimate:              | \$50,922                              |
| Total All Contracts:   | \$303,040                             |
| Contingency Allowance: | \$31,846 (10.5% of construction cost) |
| Total Project Cost:    | \$370,000                             |

+ The General, Plumbing and HVAC contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

WHEREAS a resolution adopted by the Board of Trustees on May 6, 1994 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

Postle Hall - Asbestos Abatement

This project will proceed with asbestos abatement work in mechanical rooms 048 and 161. The total project cost is \$146,300; funding is provided from Board of Regents asbestos funds (\$131,670) and Department of Physical Facilities (\$14,630). The estimated completion date is January 1995. The contract awarded is as follows:

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Postle Hall - Asbestos Abatement (contd)

|                        |                                     |
|------------------------|-------------------------------------|
| General Contract:      | Buckeye Asbestos, Cincinnati, Ohio  |
| Amount:                | \$130,000                           |
| Estimate:              | \$148,000                           |
| Total All Contracts:   | \$130,000                           |
| Contingency Allowance: | \$13,000 (10% of construction cost) |
| Total Project Cost:    | \$146,300                           |

McC Campbell Hall - Heart Rehabilitation

This project will renovate rooms 188 and 192 to provide an exercise area with supporting offices and examination rooms to accommodate the relocation of the cardiac rehabilitation program from Larkins Hall and provide cosmetic renovation on the third floor of the Nisonger Center. The total project cost is \$205,650; funding is provided from University Hospitals. The estimated completion date is January 1995. The contracts awarded are as follows:

|                        |                                     |
|------------------------|-------------------------------------|
| General Contract:      | Waller and Lund, Cincinnati, Ohio   |
| Amount:                | \$117,830                           |
| Estimate:              | \$167,000                           |
| HVAC Contract:         | C. R. Legner, Columbus, Ohio        |
| Amount:                | \$20,200                            |
| Estimate:              | \$21,000                            |
| Electric Contract:     | Reco Electric, Gahanna, Ohio        |
| Amount:                | \$32,285 +                          |
| Estimate:              | \$32,000 +                          |
| Total All Contracts:   | \$170,315                           |
| Contingency Allowance: | \$17,036 (10% of construction cost) |
| Total Project Cost:    | \$205,650                           |

+ The Electric contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Rhodes Hall - Cardiac Catheterization

This project will construct a corridor from the second floor Radiology Department to connect with new, modular, pre-fabricated catheterization laboratories and to connect all three modular units. The total project cost is \$515,141; funding is provided from University Hospitals. The estimated completion date is December 1994. The contracts awarded are as follows:

|                        |  |
|------------------------|--|
| General Contract:      | Righter Company, Columbus, Ohio          |
| Amount:                | \$254,700                                |
| Estimate:              | \$274,250                                |
| Plumbing Contract:     | Holdridge Mechanical, Columbus, Ohio     |
| Amount:                | \$25,550                                 |
| Estimate:              | \$27,500                                 |
| HVAC Contract:         | Marco Plumbing & Heating, Columbus, Ohio |
| Amount:                | \$15,900                                 |
| Estimate:              | \$18,200                                 |
| Electric Contract:     | Fetter Electric, Newark, Ohio            |
| Amount:                | \$69,450                                 |
| Estimate:              | \$119,050                                |
| Total All Contracts:   | \$365,600                                |
| Contingency Allowance: | \$36,560 (10% of construction cost)      |
| Total Project Cost:    | \$515,141                                |

OARDC - Selby Hall Roof Replacement

This project will replace the badly deteriorated and leaking roof to improve current deteriorated condition of the building roof. The total project cost is \$100,200; funding is provided from House Bill 715. The completion date was November 1994. The contract awarded is as follows:

|                        |                                       |
|------------------------|---------------------------------------|
| General Contract:      | Hicks Roofing, New Philadelphia, Ohio |
| Amount:                | \$88,000 *                            |
| Estimate:              | \$116,900 *                           |
| Total All Contracts:   | \$88,000                              |
| Contingency Allowance: | \$8,820 (10% of construction cost)    |
| Total Project Cost:    | \$100,200                             |

December 2, 1994 meeting, Board of Trustees

**REPORT OF AWARD OF CONTRACTS AND  
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

WHEREAS a resolution adopted by the Board of Trustees on July 8, 1994 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following project:

Doan and Rhodes Halls Radiology

This project consists of a three phase program to renovate diagnostic support services areas to expand the Radiology Film File Room to accommodate new moveable filing systems, office and mailing functions; provide office functions for Ultrasound, CT, and Mammography; and consolidate diagnostic file reading functions. The estimated Phase I total project cost is \$993,385; funding is provided by University Hospitals. The estimated Phase I completion date is September, 1995. The contracts awards for Phase I are as follows:

|                                  |                                       |
|----------------------------------|---------------------------------------|
| General Contract:                | M & P Construction, Blacklick, Ohio   |
| Amount:                          | \$331,600 *                           |
| Estimate:                        | \$383,200 *                           |
| Plumbing Contract:               | Farber Corp., Columbus, Ohio          |
| Amount:                          | \$43,980 *                            |
| Estimate:                        | \$52,900 *                            |
| HVAC Contract:                   | Farber Corp., Columbus, Ohio          |
| Amount:                          | \$153,700 * +                         |
| Estimate:                        | \$123,850 *                           |
| Electric Contract:               | Jess Howard Electric, Blacklick, Ohio |
| Amount:                          | \$76,600 *                            |
| Estimate:                        | \$163,775 *                           |
| Total All Contracts,<br>Phase I: | \$605,880.00                          |

+ The HVAC contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**NOW THEREFORE**

**BE IT RESOLVED**, That pursuant to the actions previously authorized by this Board, the report of award of contracts and establishment of contingency funds for the projects listed is hereby accepted.

(See Appendix XXVII for maps, page 455.)

December 2, 1994 meeting, Board of Trustees

**PURCHASE OF REAL PROPERTY**

Resolution No. 95-73

1602-04 NEIL AVENUE  
COLUMBUS, OHIO

Synopsis: Authorization to purchase the improved real property at 1602-04 Neil Avenue in Columbus, Ohio, is proposed.

WHEREAS The Ohio State University has been presented with an opportunity to purchase the improved real property located at 1602-1604 Neil Avenue in Columbus, Ohio; and

WHEREAS this property has an average appraised value of \$110,000.00, and the owner, The Ohio State University Foundation, has offered to sell the property to the University at a price of \$103,100.00 plus expenses; and

WHEREAS this property is located within the South Campus acquisition boundary of the University's Master Plan, and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funds for the purchase will be provided from general University funds in the University properties account:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the improved real property located at 1602-1604 Neil Avenue at a price not to exceed \$103,100.00, plus the Foundation's costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix XXVIII for background information and map, page 479.)

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Ms. Pichette:

This bargain purchase of real property is to allow us to move forward to complete the real estate purchase agreement that the University entered into in 1987 with Raymond Firestone. I would note that this agreement allows us to buy over 1,500 acres in Bath, Ohio, of his estate. The bargain price is \$5 million, we will execute a note which we can prepay, and the bargain price will result in a very generous gift to the University by Mr. Firestone.

Mr. Kessler:

David, do you want to say anything about the Firestone property?

Mr. Brennan:

As Janet stated, we are completing a gift that was arranged seven years ago and our plans are to present to this Board recommendations of consultants that will be hired to indicate how the property should be used.

December 2, 1994 meeting, Board of Trustees

**BARGAIN PURCHASE OF REAL PROPERTY**

Resolution No. 95-74

ESTATE OF RAYMOND C. FIRESTONE  
1,503 ACRES IN BATH, OHIO

Synopsis: Authorization to purchase 1,503 acres of real property in Bath, Ohio, pursuant to the real estate purchase agreement entered into with the late Raymond C. Firestone is proposed.

WHEREAS in June 1987 the Board of Trustees of The Ohio State University authorized the University to enter into a real estate purchase contract for the bargain purchase of 1,503 acres of improved and unimproved real property in Bath, Ohio, owned by Raymond C. Firestone; and

WHEREAS the intent of Mr. Firestone in entering into this contract was to make a generous charitable contribution to the University; and

WHEREAS that real estate purchase contract provided for the purchase of this property upon the death of Mr. Firestone; and

WHEREAS Mr. Firestone passed away on September 9, 1994 and the University now desires to consummate the closing of this real estate purchase:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Administration be authorized, in the name of the Board of Trustees of The Ohio State University, to do all things necessary or appropriate and execute all documents and instruments necessary or appropriate, including but not limited to promissory notes and mortgages, to consummate the closing of the purchase of this property as provided for in the real estate purchase agreement described above, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix XXIX for background information, page 483.)

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**NON-MANDATORY TRANSFERS FROM CURRENT FUNDS  
FISCAL YEAR 1993-1994**

Resolution No. 95-76

Synopsis: Transfers to Endowment Principal Funds, Annuity and Life Income Funds, Unexpended Plant Funds, Renewal and Replacement Funds - Remodeling and Renovation, Renewal and Replacement Funds - Repair and Replacement, and Retirement of Indebtedness Funds be submitted for approval.

WHEREAS non-mandatory transfers from current funds for the fiscal year 1993-1994 have been made; and

WHEREAS this accounting procedure is in compliance with the Auditor of State that all transfers among fund groups be reported:

NOW THEREFORE

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December 2, 1994 meeting, Board of Trustees

**NON-MANDATORY TRANSFERS FROM CURRENT FUNDS  
FISCAL YEAR 1993-1994 (contd)**

BE IT RESOLVED, That the transfers as detailed in the accompanying report be accepted and approved.

(See Appendix XXX for charts, page 485.)

Upon motion of Mr. Shumate, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote.

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President Gee:

Mr. Chairman, I failed to do this at the opening, but let me just note and welcome Kevin King who is Chair of the Staff Advisory Council. Kevin in real life works on the Farm Science Review program — one of our stellar programs. Kevin represents the very able staff of this University as their chair, and he will be sitting with us as one of our resource people. Kevin, welcome.

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Thereupon the Board adjourned to meet Friday, February 3, 1995.

Attest:

Maureen T. Sharkey  
Assistant Secretary

John W. Kessler  
Chairman